



121503

PTO/SB/05 (08-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
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16018 U.S. PTO  
10/735961

121503

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	0099-021
First Inventor	Verdonik, James F.
Title	Verdonik Method and System for..
Express Mail Label No.	EV406651725US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17) *(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 53] *(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Sheets 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional with Box 18 completed)*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**  
**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria VA 22313-1450**

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Check for \$610.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
 For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number: 26108      OR       Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	A. Jose Contina	Registration No. (Attorney/Agent)	29,733
Signature			
Date	12/15/03		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



121503

Attorney Docket No. 0099-021

**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

Express Mail mailing label number: EV 406651725 US

Applicant: James F. Verdonik

Title: VERDONIK METHOD AND SYSTEM FOR  
SHARING VENTURES INVESTMENTS

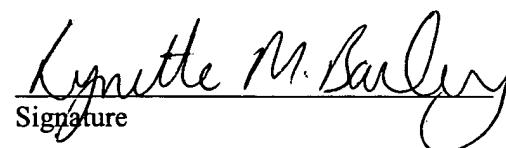
Date of Deposit December 15, 2003

Type of Document(s) Certificate of Express Mailing (1 page);  
Utility Patent Application Transmittal (1 page);  
Fee Transmittal Form (1 page) in duplicate;  
Specification (53 pages including 45 claims and Abstract);  
Drawings (6 sheets);  
Declaration and Power of Attorney (2 pages);  
Application Data Sheet (2 pages);  
Check in the amount of \$610; and  
Return Receipt Postcard.

Serial No.: Unassigned

Filing Date: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on December 15, 2003 and is addressed to the Mail Stop Patent Application, Commissioner for Patents, Post Office Box 1450, Alexandria, Virginia 22313-1450.

  
Signature

Lynette M. Bailey

# **FEE TRANSMITTAL**

## **for FY 2003**

*Effective 01/01/2003. Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 610.00)

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)				
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None					<b>3. ADDITIONAL FEES</b>				
<input type="checkbox"/> Deposit Account:					<u>Large Entity</u>	<u>Small Entity</u>			
Deposit Account Number					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
					1051	130	2051	65	Surcharge - late filing fee or oath
					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
Deposit Account Name					1053	130	1053	130	Non-English specification
					1812	2,520	1812	2,520	For filing a request for reexamination
					1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
					1251	110	2251	55	Extension for reply within first month
					1252	420	2252	210	Extension for reply within second month
					1253	950	2253	475	Extension for reply within third month
					1254	1,480	2254	740	Extension for reply within fourth month
					1255	2,010	2255	1,005	Extension for reply within fifth month
					1401	330	2401	165	Notice of Appeal
					1402	330	2402	165	Filing a brief in support of an appeal
					1403	290	2403	145	Request for oral hearing
					1451	1,510	1451	1,510	Petition to institute a public use proceeding
					1452	110	2452	55	Petition to revive – unavoidable
					1453	1,330	2453	665	Petition to revive – unintentional
					1501	1,330	2501	665	Utility issue fee (or reissue)
					1502	480	2502	240	Design issue fee
					1503	640	2503	320	Plant issue fee
					1460	130	1460	130	Petitions to the Commissioner
					1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)
					1806	180	1806	180	Submission of Information Disclosure Stmt
					8021	40	8021	40	Recording each patent assignment per property (times number of properties)
					1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))
					1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))
					1801	770	2801	385	Request for Continued Examination (RCE)
					1802	900	1802	900	Request for expedited examination or of a design application
					Other fee (specify)				
					*Reduced by Basic Filing Fee Paid				
					SUBTOTAL (3) (\$0.00)				

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	A. Jose Cortina	Registration No. Attorney/Agent)	29,733	Telephone	919-544-5444
Signature				Date	December 15, 2003

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